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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Kaoru Kagami et al.

Serial No. 09/901,307

Filed: July 9, 2001

OPTICAL FILTERING MODULE AND
OPTICAL DEVICES USING SUCH
OPTICAL FILTERING MODULE

)
) Before the Examiner
)
) Thanh-Tam Le
)
) Group Art Unit 2839
)
)
) February 23, 2004
)

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

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Date of Signature

Matthew R. Schantz

Name of Registered Representative

Matthew R. Schantz
Signature

AMENDMENT UNDER 37 CFR § 1.115

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated August 21, 2003, please enter the following amendments and consider the following remarks:

INTRODUCTION

Claims 1-22 are pending in the application. Claims 4, 5, 11, 12, 20, and 21 have been identified by the examiner as containing patentable subject matter, but have been objected to. Claims 1-3, 6-10, 13-19, and 22 stand presently rejected. Applicants respond to the objections and rejections as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2.

An **Amendment to the Drawings** is described on page 8.

Remarks begin on page 9.

The **Conclusion** and statement of fees begin on page 12.